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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/US)

ATTORNEY'S DOCKET NUMBER SGL-2009-US

	CERNING A SUBMISSION	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/700,270					
	ONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/GB99/ TITLE OF I	IN (ENTION)	May 7, 1999	May 11, 1998				
POLY MORPHISMS IN A NITRIC OXIDE STATIASE GENE							
APPLICANT(S) FOR DO/EO/US Lynette Robyn Griffiths							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. T	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. T	his is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. TI	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. 🔲 T	The US has been elected (Article 31).						
5.	A copy of the International Application	on as filed (35 U.S.C. 371(c)(2))					
	a. is attached hereto (required only if not communicated by the International Bureau).						
5	b. has been communicated by the International Bureau.						
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto.						
	b. has been previously subm	nitted under 35 U.S.C. 154(d)(4).					
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (requ	uired only if not communicated by the Interna	itional Bureau).				
	b. have been communicated	d by the International Bureau.					
	c. have not been made; how	wever, the time limit for making such amend	ments has NOT expired.				
	d. have not been made and	will not be made.					
8.	An English language translation of	the amendments to the claims under PCT Ar	rticle 19 (35 U.S.C. 371(c)(3)).				
9. 🗌	An oath or declaration of the invento	or(s) (35 U.S.C. 371(c)(4)).					
10.	An English language translation of t Article 36 (35 U.S.C. 371(c)(5)).	he annexes of the International Preliminary E	Examination Report under PCT				
Items '	11 to 20 below concern document	(s) or information included:					
11. 🔲	An Information Disclosure Statemer	nt under 37 CFR 1.97 and 1.98.					
12.	An assignment document for record	ling. A separate cover sheet in compliance w	vith 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.	•					
14.	An Application Data Sheet under 37	7 CFR 1.76.					
15.	A substitute specification.						
16.	A power of attorney and/or change	of address letter.	ů.				
17.	A computer-readable form of the se	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published Inte	ernational Application under 35 U.S.C. 154(d	)(4).				
19.	A second copy of the English langua	age translation of the international applicatio	n under 35 U.S.C. 154(d)(4).				
20.	Other items or information: Respons	se to Notification of Defective Response, S	Sequence Listing, Supporting Documents.				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO				PPLICATION NO.	ATTORNEY'S DOCKET NUMBER		
09/700,270 PCT/GB99/01450			SGL-2009-US				
The following fees have been submitted			CALCULATIONS	PTO USE ONLY			
21. 🔲 Basid	21. Basic national fee\$300			\$300	\$		
22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations. \$500					\$		
	TOTAL OF 21, 22	2 and 23 =			\$		
Additional fer sequence lis	e for specification sting or computer	and drawings filed program listing filed	n paper over 100 sheets (e in an electronic medium). aper or fraction thereof.	excluding			
Total Sheets	Extra Sheets		additional 50 or fraction to a whole number)	RATE			
- 100 =	/50 =			× \$250	\$		
Surcharge of \$13	0.00 for furnishing late (37 CFR 1.49)		ation later than 30 months f	from the earliest	\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		- 20 =		x \$50	<b>6</b> .00		
Independent clair	ms	- 3 =	-	× \$200	<b>0</b> \$00		
MULTIPLE DEP	ENDENT CLAIM(S	S) (if applicable)		+ \$360	0600		
	<u></u>		TOTAL OF ABOVE	CALCULATIONS =	<b>\$</b> .00		
Applicant cla	ims small entity s	tatus. See 37 CFR	1.27. Fees above are redu	ced by 1/2.			
				SUBTOTAL =	\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$		
			TOTA	L NATIONAL FEE =	\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$		
	···		· · · · · · · · · · · · · · · · · · ·	FEES ENCLOSED =	\$		
				Amount to be refunded:	\$		
					Amount to be charged:	\$	
a. A chec	ck in the amount o	f \$	to cover the ab	ove fees is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
BioTechnology							
658 Marsolan A Solana Beach,	Avenue	int					
				NAME (	_		
	47,608  REGISTRATION NUMBER						
		ION NUMBER					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): GRIFFITHS, Lynette R.

Application No.: 09/700,270

Filed: 13 November 2000

Title: Polymorphisms in a Nitric Oxide Synthase Gene

Attorney Docket No.: SGL-2009-US

Group Art Unit:

To Be Assigned

Examiner:

To Be Assigned

## RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Mail Stop: PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This is in response to a Notification of Defective Response. Enclosed is a copy of said Notice and the following documents to complete the filing requirements of the above-identified application.

- (X) Statement Accompanying Sequence Listing (1 page);
- (X) 1-Diskette containing computer readable format of sequence listing; and
- (X) Paper copy of Sequence Listing Transmittal Sheet for Submission of Sequence Listing (2 pages)

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at 858-623-9470.

If there are any additional fees due please contact the undersigned attorney at 858-623-

9470.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Mo. ER 261942839 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Date of Deposit: FEB 12

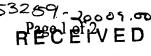
Typed Name: BRUCE D. GRANT

Respectfully submitted,

Reg. No. 47,608

Telephone No.: 858-623-9470 Facsimile No.: 858-623-9476

Email: bruce@biotechnologylawgroup.com







## United States Patent and Trademark Office

Morrison & Foerster, LLP UNITED STATES DEPARTMENT OF COMPLECEIVED

UNITED STATES DEPARTMENT OF COMME United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Doz. 1450 Alexandria, Vignius 22313-1450 www.mplo.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. **GG109US** Lynette Robyn Griffiths 09/700,270 INTERNATIONAL APPLICATION NO. PCT/GB99/01450 25225 I.A. FILING DATE PRIORITY DATE MORRISON & FOERSTER LLP 05/07/1999 3811 VALLEY CENTRE DRIVE SUITE 500 No Docketing Required **CONFIRMATION NO. 2851** SAN DIEGO, CA 92130-2332 Reviewing by Docketing 371 FORMALITIES LETTER Initials OC000000014926758\*

Date Mailed: 01/12/2005

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Copy of the International Application filed on 04/16/2001
- Copy of the International Search Report filed on 04/16/2001
- Preliminary Amendments filed on 04/16/2001
- Information Disclosure Statements filed on 04/16/2001
- Oath or Declaration filed on 04/16/2001
- Biochemical Sequence Listing filed on 04/16/2001
- Request for Immediate Examination filed on 04/16/2001
- U.S. Basic National Fees filed on 04/16/2001
- Substitute Specification filed on 04/16/2001
- Priority Documents filed on 04/16/2001

Applicant's response filed 04/16/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/06/2001 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHELBY J VIGIL

Telephone: (703) 305-3653

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.						
09/700,270	PCT/GB99/01450	GG109US						

FORM PCT/DO/EO/916 (371 Formalities Notice)